

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shelton et al.

Serial No.: 10/796,176

Group Art Unit: 1796

Filed: March 09, 2004

Examiner: Saira B. Haider

For: LOW MOLECULAR WEIGHT CELLULOSE MIXED ESTERS AND THEIR
USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN COATING
COMPOSITIONS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(c)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance.

Accordingly,

- ☐ the undersigned hereby states (37 CFR 1.97(e)) that
- ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
 - ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

- ☒ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under §1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

Respectfully submitted,

/Polly C. Owen/

Eastman Chemical Company
P.O. Box 511
Kingsport, Tennessee 37662
Phone: (423) 229-6204
FAX: (423) 229-1239

Polly C. Owen
Registration No. 44,991

05/20/09

Date